

PER QUERY APPLICATION

Vendors intending to report based upon the number of queries distributed each month must comply with the following requirements for providing queries. **A QUERY is defined as UTP Information on a single security delivered once to a single device/user id. The Information retrieved must not be updated without the Subscriber re-requesting the Information unless each unrequested/pushed retrieval is recorded.** It may be required that each Vendor provide a demonstration of the query counting system **prior** to implementation.

Date:	
Name of Your Firm (Vendor Name):	
Name of System:	
Account Number to Add the Per Query Billing:	
Name of Data Feed Provider:	

Per Query Application Contact	
Mr./Ms./Mrs. _____	_____
First Name	Last Name
_____	_____
Phone Number	E-mail Address

1. Vendors must count and report all real-time queries distributed during the below listed timeframes. Indicate the time period(s) your system distributes and your system counts queries.

Timeframe	Yes/No
pre-market session: 4:00 a.m. to 9:45 a.m., Eastern Time (ET)	<input type="checkbox"/> Yes <input type="checkbox"/> No
regular market session: 9:30 a.m. to 4:15 p.m., ET	<input type="checkbox"/> Yes <input type="checkbox"/> No
post-market session: 4:00 p.m. to 8:15 p.m., ET	<input type="checkbox"/> Yes <input type="checkbox"/> No

2. Provide the values (code script) that your firm utilizes to differentiate between the 5 markets. Please see here for our [Symbol Lookup](#).

Security Type	Value
NASDAQ Global Select	
NASDAQ Global	
NASDAQ Capital Market	
OTC Bulletin Board	
Other OTC Securities	

3. Vendors must ensure the quote meter counts queries distributed on all screens including: portfolio screens; charts; pre-trade and post trade confirmations; and real-time queries provided to delayed subscribers at the time of the trade.

Indicate the pages on which your system offers and counts real-time queries.

Page Display	Yes/No
Single Security Information	<input type="checkbox"/> Yes <input type="checkbox"/> No
Portfolio	<input type="checkbox"/> Yes <input type="checkbox"/> No
Charts/Graphs	<input type="checkbox"/> Yes <input type="checkbox"/> No
Pre-trade confirmation	<input type="checkbox"/> Yes <input type="checkbox"/> No
Post trade confirmation	<input type="checkbox"/> Yes <input type="checkbox"/> No
Others: _____	<input type="checkbox"/> Yes <input type="checkbox"/> No

4. Vendors may only submit capped monthly usage if the Vendor has the ability to confirm a Subscriber's Nonprofessional or Professional status and does not allow a single Subscriber to access the system through multiple devices simultaneously.

Please indicate the number of Professional Subscribers intended for real time UTP data: _____

Please indicate the number of Nonprofessional Subscribers intended for real time UTP data: _____

Please describe how your firm will qualify a Subscriber as a Nonprofessional. Please submit copies of the documentation/application that your firm will provide to Subscribers to determine their Professional or Nonprofessional status.

I certify that the information provided on this Per Query Application is accurate.

Signature

Title

Name

Date